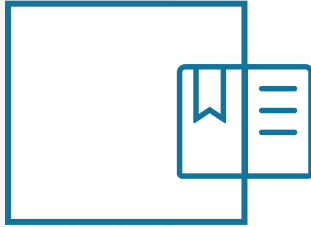


## GOVERNANCE QUALITYSCORE 2021 Q1 METHODOLOGY HIGHLIGHTS

### NEW AUDIT FACTORS



**Does the company disclose an approach on identifying and mitigating information security risks? (Q402)**

**Applicable Regions:** All Regions Except Japan & South Korea

**What percentage of the committee responsible for information security is independent? (Q403)**

**Applicable Regions:** All Regions Except Japan & South Korea

**How often does senior leadership brief the board on information security matters? (Q404)**

**Applicable Regions:** All Regions Except Japan & South Korea

**How many directors with information security experience are on the board? (Q405)**

**Applicable Regions:** All Regions Except Japan & South Korea

**What are the net expenses incurred from information security breaches over the last three years relative to total revenue? (Q406)**

**Applicable Regions:** U.S.

**Has the company experienced an information security breach in the last three years? (Q407)**

**Applicable Regions:** All Regions Except Japan & South Korea

**What are the net expenses incurred from information security breach penalties and settlements over the last three years relative to total revenue? (Q408)**

**Applicable Regions:** U.S.

**Has the company entered into an information security risk insurance policy? (Q409)**

**Applicable Regions:** All Regions Except Japan & South Korea

**Is the company externally audited or certified by top information security standards? (Q410)**

**Applicable Regions:** All Regions Except Japan & South Korea

**Does the company have an information security training and compliance program? (Q411)**

**Applicable Regions:** All Regions Except Japan & South Korea

**How long ago did the most recent information security breach occur (in months)? (Q412)**

**Applicable Regions:** All Regions Except Japan & South Korea

## GOVERNANCE QUALITYSCORE 2021 Q1 METHODOLOGY HIGHLIGHTS

### NEW BOARD FACTORS

**Does the board exhibit ethnic or racial diversity? (Q390)**

**Applicable Regions:** U.S.

**What percentage of the sustainability committee is independent? (Q396)**

**Applicable Regions:** All regions except Japan & South Korea

**What percentage of the board has familial relationships with other directors? (Q401)**

**Applicable Regions:** U.S., Canada, Anglo, Germanic, Nordic, S. Europe, W. Europe, Australasia, Russia, Africa

### NEW COMPENSATION FACTORS

**What is the level of disclosure on diversity and inclusion performance measures for the short-term or any long-term incentive plan for executives? (Q398)**

**Applicable Regions:** All regions except Japan & South Korea

**Has the company made special grants to executives excluding the CEO in the most recent fiscal year? (Q399)**

**Applicable Regions:** U.S.

**What percentage of the CEO's total compensation was due to special grants in the most recent fiscal year? (Q400)**

**Applicable Regions:** U.S.



## GOVERNANCE QUALITYSCORE 2021 Q1 METHODOLOGY HIGHLIGHTS



### EXPANDING COVERAGE OF BOARD CATEGORY FACTORS

**Do executives serve on an excessive number of outside boards? (Q36)**

**Applicable Regions:** Anglo, Germanic, Nordic, S. Europe, W. Europe, and Latin America

**New Additions:** U.S. and Canada

**Does the Board Chair serve on a significant number of outside boards? (Q39)**

**Applicable Regions:** Anglo, Australasia, Germanic, Nordic, S. Europe, W. Europe, and Latin America

**New Additions:** U.S. and Canada

### EXPANDING COVERAGE OF SHAREHOLDER RIGHTS CATEGORY FACTORS

**What is the percentage of multiple voting rights or voting certificates relative to total voting rights? (Q57)**

**Applicable Regions:** Africa, Anglo, Germanic, Nordic, W. Europe

**New Additions:** U.S., Canada, AsiaPac\*, Australasia\*, India\*, Latin America\*, Russia\*, S. Europe

**What is the free float percentage of multiple voting rights or voting certificates? (Q58)**

**Applicable Regions:** Africa, Anglo, Germanic, Nordic, W. Europe

**New Additions:** Russia\*, S. Europe

**What percentage of issued share capital is composed of non-voting shares? (Q63)**

**Applicable Regions:** Africa, Anglo, Germanic, Nordic, S. Europe, W. Europe, Russia

**New Additions :** U.S., Canada, Australasia\*, AsiaPac\*, India\*, Latin America\*

**Does the company have a controlling shareholder? (Q290)**

**Current Coverage:** U.S., Africa, AsiaPac, Australasia, India, Japan, Latin America, Russia, South Korea, S. Europe

**New Additions:** Anglo, Canada, Germanic, Nordic, W. Europe

**\* These Factors will not be scored in Governance QualityScore**