Billions of dollars each year are recoverable by investors in securities class action lawsuits. Yet, the reality is that in many cases well under 50% of eligible claims are filed. In other words, investors are leaving millions if not billions of settlement dollars on the table. Not only do institutional investors have a fiduciary responsibility to monitor such suits and file claims on behalf of their clients, but it makes good business sense to recover substantial assets lost due to fraud.

SCAS uniquely combines comprehensive securities class action coverage, subject matter expertise and operational excellence to provide investors with a fully outsourced solution.

**Why SCAS?**

- **Established Expertise**
  Industry’s longest case database leading filer

- **Client Service**
  Team of 17 dedicated professionals with 2 JDs and 5 MBAs on staff

- **Relationships**
  Deep relationships with custodians, prime brokers, law firms and claims administrators

- **Security**
  SAS 70 Type II audit of security controls

### RESEARCH

- Online database of securities class action litigation, covering more than 20 years of detailed historical class action data
- Complete research and analysis on all federal, state and international securities class actions as well as SEC disgorgements.
- Global research coverage with particular emphasis on countries that authorize securities class actions by law (including, but not limited to, Australia, Canada, Germany, Israel, Netherlands, Denmark, Norway, Sweden, South Korea, and Taiwan).

### FILING

- Fully outsourced filing services for asset recovery
- Dedicated account management support
- Complete monthly, quarterly and annual reporting on all filing activity
- Filing Coverage:
  - U.S.
  - Canada
  - Netherlands
SCAS Service Highlights

Research
Unprecedented coverage of state, federal, and international securities class actions available via a unique proprietary online platform.

Claims Filing
Comprehensive claims filing for equity and debt securities in the US, Canada, and the Netherlands.

Notification
E-mail and web-based alerts on new cases and case developments across the globe.

International Alerts
Portfolio specific e-mail alerts of new international actions and case developments.

Monthly Reporting And Industry Coverage
Monthly filing reports covering their filing activity. Expert analysis on industry trends through the SCAS newsletter and Securities Litigation Watch blog.

Disbursement Tracking
Tracking of disbursements data via a quarterly disbursement report.

Look-Back Service
Provides a diagnostic review of on-boarding client data for potential missed filings in the past.

Options Filing
SCAS can provide options filing as a custom filing service.

Online Portfolio Specific Tracking With Loss Calculation
Online platform allows clients to see the class actions they have potential eligibility for and they can access SCAS loss calculator, which helps them decide whether to serve as lead plaintiff by providing multiple methodologies for calculating portfolio-specific securities losses.

Custom Solutions
SCAS routinely provides clients with custom solutions to meet their specific needs.

SCAS Key Facts:

▸ SCAS Database
To date the SCAS database contains more than 6,300 cases, 3,700 settlements, and over 40,000 unique security identifiers.

▸ Filings
By far the industry leading filer, in 2010 SCAS filed more than 495,000 securities class action claims on behalf of clients.

▸ Recoveries
SCAS averages over $1 billion a year in recoveries for its clients.

▸ Subject Matter Expertise
SCAS data and subject matter expertise are routinely cited in reputable new publications, studies, and research sites to include the Wall Street Journal, ABC News, The American Lawyer, Cornerstone Research, NERA Economic Consulting, and the Securities Docket.

For more information and/or a product demonstration, please e-mail marketing@issgovernance.com, visit us at www.issgovernance.com, or call us at +1 301 556 0570.

About ISS
ISS is the leading provider of corporate governance solutions to the global financial community. More than 1,700 clients rely on ISS' expertise to help them make more informed investment decisions on behalf of the owners of companies. This document and all of the information contained in it, including without limitation all text, data, graphs, charts (collectively, the “Information”) is the property of Institutional Shareholder Services Inc. (“ISS”), its subsidiaries, or in some cases third party suppliers. The Information may not be reproduced or redisseminated in whole or in part without prior written permission of ISS. The Information has not been submitted to, nor received approval from, the United States Securities and Exchange Commission or any other regulatory body. None of the Information constitutes an offer to sell (or a solicitation of an offer to buy), or a promotion or recommendation of, any security, financial product or other investment vehicle or any trading strategy, and ISS does not endorse, approve or otherwise express any opinion regarding any issuer, securities, financial products or instruments or trading strategies. Issuers mentioned in this document may have purchased self-assessment tools and publications from ISS Corporate Services, Inc. (“ICS”), a wholly-owned subsidiary of ISS, or ICS may have provided advisory or analytical services to the issuer. No employee of ICS played a role in the preparation of this document. Any issuer that is mentioned in this document may be a client of ISS, ICS, ISS’ parent company, MSCI Inc., or a subsidiary of MSCI Inc., or may be the parent of, or affiliated with, a client of ISS, ICS, MSCI Inc., or another MSCI Inc. subsidiary. The user of the Information assumes the entire risk of any use it may make or permit to be made of the Information. ISS MAKES NO EXPRESS OR IMPLIED WARRANTIES OR REPRESENTATIONS WITH RESPECT TO ANY OF THE INFORMATION. ISS EXPRESSLY DISCLAIMS ALL IMPLIED WARRANTIES (INCLUDING, WITHOUT LIMITATION, ANY IMPLIED WARRANTIES OF ORIGINALITY, ACCURACY, TIMELINESS, NON-INFRINGEMENT, COMPLETENESS, MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE) WITH RESPECT TO ANY OF THE INFORMATION. Without limiting any of the foregoing and to the maximum extent permitted by law, in no event shall ISS have any liability regarding any of the Information for any direct, indirect, special, punitive, consequential (including lost profits) or any other damages even if notified of the possibility of such damages. The foregoing shall not exclude or limit any liability that may not by applicable law be excluded or limited.